UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/073,959	02/14/2002	Hisashi Nakamura	042288	8711
38834 7590 01/27/2009 WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP			EXAMINER	
	CTICUT AVÉNUE, NY		KUMAR, SRILAKSHMI K	
WASHINGTON, DC 20036			ART UNIT	PAPER NUMBER
			2629	
			MAIL DATE	DELIVERY MODE
			01/27/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1	RECORD OF ORAL HEARING
2	
3	UNITED STATES PATENT AND TRADEMARK OFFICE
4	
5	
6	BEFORE THE BOARD OF PATENT APPEALS
7	AND INTERFERENCES
8	
9	
10	Ex parte HISASHI NAKMURA, KOUJI TERAMI,
11	and TOSHIYUKI OKINO
12	
13	
14	Appeal 2008-5856
15	Application 10/073,959
16	Technology Center 2600
17	
18	0 111 ' 111 D 1 0 2000
19	Oral Hearing Held: December 9, 2008
20	
21	
22	Before KENNETH W. HAIRSTON, ROBERT E. NAPPI, and JOHN A.
23 24	JEFFERY, Administrative Patent Judges
2 <del>4</del> 25	JETTER 1, Administrative 1 atent Judges
26	ON BEHALF OF THE APPELLANTS:
27 27	ON BEHALL OF THE ATTELERATIO.
28	MICHAEL J. CARIDI, ESQ.
29	WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
30	1250 CONNECTICUT AVENUE, NW
31	SUITE 700
32	WASHINGTON DC 20036
33	
34	The above-entitled matter came on for hearing on Tuesday, December
35	9, 2008, commencing at 9:48 a.m., at The U.S. Patent and Trademark Office,
36	600 Dulany Street, Alexandria, Virginia, before Janice A. Salas.
37	
38	

1	THE CLERK: Calendar number 8, appeal number 2008-5856, Mr.
2	Caridi.
3	JUDGE HAIRSTON: You may begin.
4	MR. CARIDI: May it please the Board, my name is Michael Caridi.
5	I'm here on behalf of the appellants Mr. Nakamura, et al. I'm here on appeal
6	for a 102 rejection based on a single reference, the Sugawara reference.
7	I believe that the issues at law pertain only to generic 102
8	requirements, and that being that a claim's anticipated only if each and every
9	element as set forth in the claim is found either expressly or inherently
10	described in the single prior art reference, and we are obviously of the
11	opinion that Sugawara does not do that for the current claim as it stands.
12	There are two independent claims, claim 2 and 3; however, the feature
13	which I will be discussing is germane to both of those claims.
14	There's a requirement for a temperature sensor, an air pressure sensor,
15	a drive circuit of a cooling fan and a storage means or a device for claim 3
16	for storing a control table representing the relationship between the
17	temperature detected by the temperature sensor and the value of the control
18	voltage for the driving circuit of the cooling fan for each of a plurality of
19	classes into which the outside air pressure is divided.
20	I'll summarize by saying that there are three variables here the
21	temperature, the control voltage, and the air pressure and I'll refer to
22	application specification page 5, which describes a control table that is in
23	line with the claimed invention.
24	The outside air pressure is divided into three classes high, middle
25	and low classes and a detected temperature control voltage table
26	representing the relationship between a temperature detected by a

temperature sensor identified as reference number 2 for figure 3 and the 1 2 value of a control voltage for the control circuit 6 is prepared for each of the 3 classes. 4 The storage device 1-A stores the three types of detected temperature 5 control voltage tables, so in this example from the specification, the -- there are actually three tables, one based on each of the pressure classifications --6 7 low, middle and high. 8 Now, the current rejection cites to the Sugawara reference under 102 9 as teaching all of these features. However, as I will put forward here, the examiner has in fact pulled 10 features from a variety of different embodiments, and these embodiments are 11 12 not truly related nor is any of the features in regard to claims 2 and 3 as I've described inherent based on any of these embodiments. 13 14 Sugawara teaches a number of embodiments and provides a number 15 of disclosures regarding temperature value, air pressure value and fan output 16 adjustment; in other words, voltage. 17 The rejection under 102 attempts to coalesce these teachings into a single disclosure; however, this coalition of teachings does not result in an 18 19 embodiment as we've described. 20 There are, in fact, three separate sections of Sugawara that the 21 examiner cites to as providing a single teaching. 22 The three separate sections of Sugawara -- I'll break them down -- is --23 in regards to how they're referenced within the specification of the patent --24 column 7 to 8 in figure 3, first section; column 10, lines 55 to column 11, 25 line 13 is the second section, which is describing a third embodiment; and 26 the third is column 15, lines 15 to 37, which is describing variations on a

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

seventh embodiment. In regard to the first description, column 7 to 8 in figure 3, this is the first section describing a general description and a first embodiment, and this description relies on a first embodiment which utilizes a temperature compensation feature based on air pressure. And I'll refer to figure 4 of Sugawara, which has a S-10 step of detecting the air pressure using a barometer and then an S-11, a temperature compensation value T-zero is calculated, and only then is this calculation for T-zero put into the main algorithm for step S-2 where T-zero is used to calculate TC, temperature corrected, and only then is this temperature corrected, this variable, used to either turn off or sound an alarm if a temperature beyond set variables, temperature off, temperature alarm, has been reached. So here the temperature values ingested in part based on the detected air pressure before a final temperature TC is utilized. There's no dividing of the outside air pressure into a plurality of classes based on a relationship between the two, temperature pressure is detected and the value of the control voltage for a driving circuit. The second description is column 10, lines 55 to column 11, which is describing a third embodiment which does not require a barometer. In fact, this section teaches that the air pressure sensor, S-10 of figure 4, is not utilized. Rather, this description teaches that an adjustment is made to the temperature compensation value, T-zero, based on the linear charting of voltage to air pressure per figure 8. Hence, this embodiment does away with the air pressure sensor

1 altogether and there cannot be a table whereby air pressure's divided based 2 on detected temperature and control voltage. This embodiment in fact does 3 away with another featured requirement of the claim, which is the 4 barometer. 5 Finally, there's the third description which is cited by the examiner, 6 which is column 15, about lines 15 to 37. This is actually some catchall 7 paragraphs at the end of the specification, and the examiner cites the two 8 different catchalls that are not really related. 9 The first is at lines 15 through 21 of column 15, which simply states 10 that the Sugawara invention is not limited to a cooling fan but is usable for 11 other devices, such as heat sinks and heat pumps. 12 The second catchall is at lines 22 to 37. States that a device may be 13 set with a different reference pressure rather than atmospheric pressure if it 14 is known that the device will be at another altitude or pressure. 15 I interpret this to mean that if you know you're going to be shipping 16 your product to Denver or something like that, that you would adjust that 17 product beforehand to have this temperature correction automatically installed, but there's no recitation here of putting a table into the memory. 18 19 JUDGE JEFFERY: Counsel, it does say in that passage, The table of 20 the temperature compensation values, T-zero, may of course be -- may of 21 course create at being based on the air pressure value at the position on air 22 pressure used, so on and so forth, so is there not some sort of correlation 23 between different pressure values, if you will, in temperature? 24 MR. CARIDI: Yes, I believe there is, but that again is using the 25 temperature compensation. So in other words, what Sugawara is doing is 26 they are first setting air pressure to temperature and then making the

1 adjustment to the temperature. 2 So they are not in fact using -- they are not setting three separate or a 3 plurality of separate classes of air pressure and then listing voltages to 4 temperatures inside of those, then selecting a voltage based on the 5 temperature ---6 JUDGE JEFFERY: For that class --7 MR. CARIDI: -- within that class. 8 JUDGE JEFFERY: -- that class of pressure, yeah. MR. CARIDI: Yes, Your Honor. 9 10 JUDGE JEFFERY: Okay. 11 MR. CARIDI: And again, just to comment a little bit further on that, 12 it's -- the majority of the embodiments in Sugawara are doing away with the 13 barometer. Obviously, the first embodiment there does use it, the barometer, 14 the S-10, S-11 steps. 15 But just about all of these other embodiments where they do refer to 16 air pressure in regards to temperature and calculating the T-zero, what 17 they're doing is based on the movement of the fans, the velocity or the voltage that's being used, coming up with a temperature compensation. 18 19 And I can -- if you'd like, I can cite for the record all of the sections 20 where they refer to a barometer not being necessary. 21 But I believe in all the embodiments, 2 through 6, do away with the 22 barometer. So all of the sections where they're talking about adjusting air 23 pressure to temperature they're not utilizing a barometer. They're trying to 24 take that -- Sugawara is trying to take that feature out, and in fact, I'll read 25 the very last paragraph before the claim start on column 15. 26 "Furthermore, since the temperature compensation can be performed

1 by using the fan rotational frequency or the applied voltage value while 2 changes according to the air pressure, a projection type display which is 3 simple and requires less costs increase is realized without increasing the 4 number of new members for the air pressure detection and at the same time 5 suppressing the larger and heavier apparatus." 6 So what that is saying in effect is that they can make this adjustment 7 without including a barometer in the device, which is one of the goals of 8 Sugawara -- of many of the embodiments of Sugawara, so if I can conclude, 9 Sugawara discloses manipulating fan controls based on temperature 10 compensation values. 11 That is not the temperature that is directly taken from the temperature 12 sensor. The temperature compensation value may be derived based on 13 readings from an air pressure sensor. However, this does not anticipate the 14 present claims because there's not break out the table stored -- in our storage 15 means. 16 Since the present invention requires this, namely, a control table representing the relationship between the temperature detected by the 17 18 temperature sensor and the value of the control voltage for the driving circuit 19 of the cooling fan for each of the plurality of classes into which the outside 20 air pressure is divided, none of these various embodiments of Sugawara 21 teach this feature, either expressly or inherently, and therefore, it cannot anticipate the claims as presented under 35 U.S.C. Section 102. 22 23 JUDGE HAIRSTON: Okay. Any questions? 24 JUDGE JEFFERY: None from me. 25 JUDGE NAPPI: No. 26 JUDGE HAIRSTON: Thank you, counselor.

## Appeal 2008-5856 Application 10/073,959

- 1 MR. CARIDI: Thank you, Your Honor. Have a good day.
- 2 (Whereupon, the proceedings at 10:03 a.m. were concluded.)